

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : PATENT
GORDON T. RIVERS, et al. :
Serial No.: 10/029,187 : Group Art Unit: 1724
Filed: December 18, 2001 : Examiner:
For: DETOXIFICATION OF : Docket No.: 194-23264-US
ONIUM COMPOUNDS : Date: June 23, 2003

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BEFORE MAILING DATE OF EITHER A FINAL
ACTION OR NOTICE OF ALLOWANCE — 37 CFR §1.97(c)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Time of Transmittal of Accompanying Supplemental Information Disclosure
Statement

The Supplemental Information Disclosure Statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final Action under §1.113 or
- (2) a Notice of Allowance under §1.311, whichever occurs first.

2. Certification, Petition and Fee

Accompanying this transmittal is:

- ☒ A. a certification as specified in 37 CFR §1.97(e).
☐ B. the fee set forth in 37 CFR §1.17(p) for submission of an Information
Disclosure Statement under §1.97(c). (\$180.00).

3. Fee Payment

☐ Applicant elects the option to pay the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c) (\$180.00).

Fee due: \$180.00.

4. Method of Payment of Fee

☐ Attached is a check for \$_____.

☐ Please charge Account 02-0429 \$180.00. If any additional petition fees are due for this item only, please charge Account 02-0429.

5. Miscellaneous

☒ In an abundance of caution, a copy of the May 6, 2003 PCT ISA/EP Search Report is being provided. Please note that the relevance of the non-English documents provided is indicated on this Search Report. It is respectfully submitted that this is sufficient explanation. Also note that a copy of EP 0 729 916 A2 cited in the Search Report is not being provided because it corresponds to U.S. Pat. No. 5,486,296 to Pettrille, III, et al. that was provided in the IDS when the subject application was filed.

Respectfully submitted,
GORDON T. RIVERS, et al.

David L. Mossman
David L. Mossman
Registration No. 29,570
Attorney for Applicant

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

JUNE 23, 2003
Date of Deposit

David L. Mossman
David L. Mossman

06.23.2003
Date

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Serial No.: 10/029,187 : Group Art Unit: 1724
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CERTIFICATION FOR SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Identification of Supplemental Information Disclosure Statement for which this
Certification is Being Made

This certification is being made for the Supplemental Information Disclosure
Statement

☒ accompanying this certification;
☐ filed: _____

2. Certification

I, the person signing below, certify:

- ☐ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement. 37 CFR §1.97(e)(1).
- ☒ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application. 37 CFR §1.97(e)(2), or that no item of information contained in the Information Disclosure Statement, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

3. Identification of Person Making This Certification

The person making this certification is:

☐ The inventor who signs below.

Signature

Typed or printed name

☐ A person who is substantially involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 CFR §1.56(c)) and who signs below:

Signature

Typed or printed name

Address

Relationship

- ☒ The attorney who signs below on the basis of:
- ☐ the information supplied by the inventor.
 - ☒ the information supplied by an individual designated in §1.56(c).
 - ☐ the information in the attorney's file.
 - ☐ information found by the attorney while conducting research on another matter.

Respectfully submitted,
GORDON T. RIVERS et al.

David L. Mossman
David L. Mossman
Registration No. 29,570
Attorney for Applicant
Telephone No. 512/219-4026
Facsimile No. 512/219-4036

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Houston, Texas 77057-5638

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David L. Mossman
David L. Mossman

6-23-2003
Date

Substitute for form 144B/PTO

(Use as many sheets as necessary)

Sheet 1

of 2

Application Number	10/029,187
Filing Date	12/18/2001
First Named Inventor	Gordon T. Rivers
Art Unit	1724
Examiner Name	.
Attorney Docket Number	194-23264-US

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP 0 093 320 A2	11-09-1983	Idol, et al.		
		EP 0351 688 A1	01-24-1990	Guhl, et al.		
		EP 0 435 014 A1	07-03-1991	Schmidt, et al.		✓
		DE 41 01 336 A1	07-23-1992	Bloedhorn-Dausner, et al.		
		EP 0 579 336 A1	01-19-1994	Smith		✓
		WO 01/38695 A2	05-31-2001	Bivtas, et al.		✓

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 909. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.87 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/08B (04-03)

Approved for use through 04/30/2003. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/029,187
		Filing Date	12/18/2001
		First Named Inventor	Gordon T. Rivers
		Art Unit	1724
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number 194-23264-US	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PCT ISA/EP Search Report for Application No. PCT/US02/39760, May 6, 2003.	✓

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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